San Francisco Human Rights Commission

San Francisco Administrative Code Chapter 14B

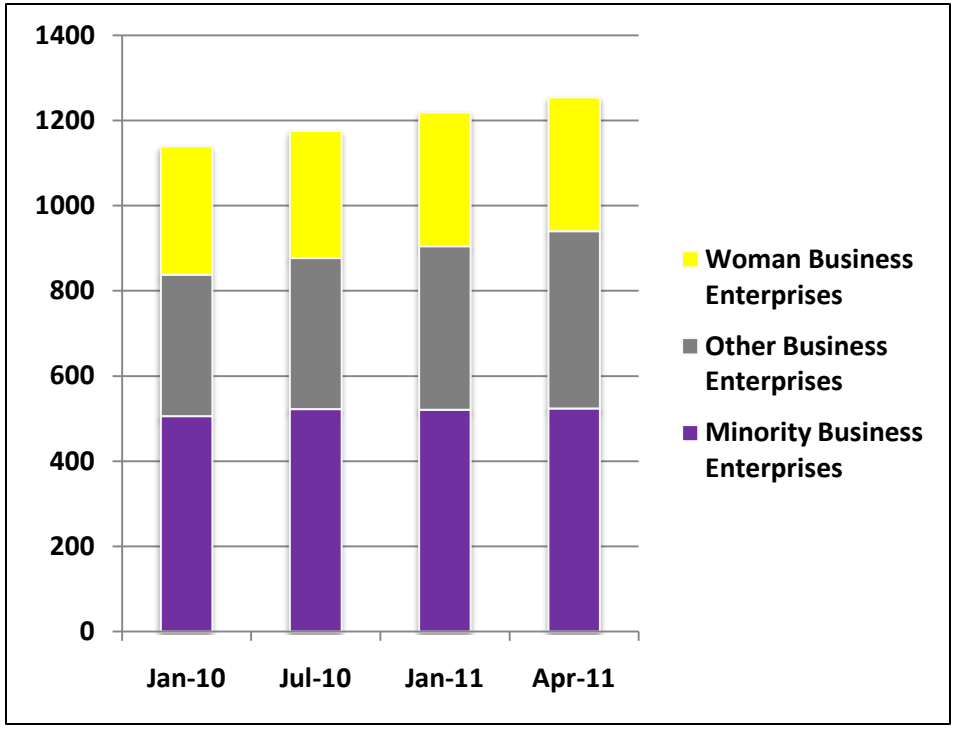
Local Business Enterprise and Non-Discrimination in Contracting Ordinance

Summary of Findings

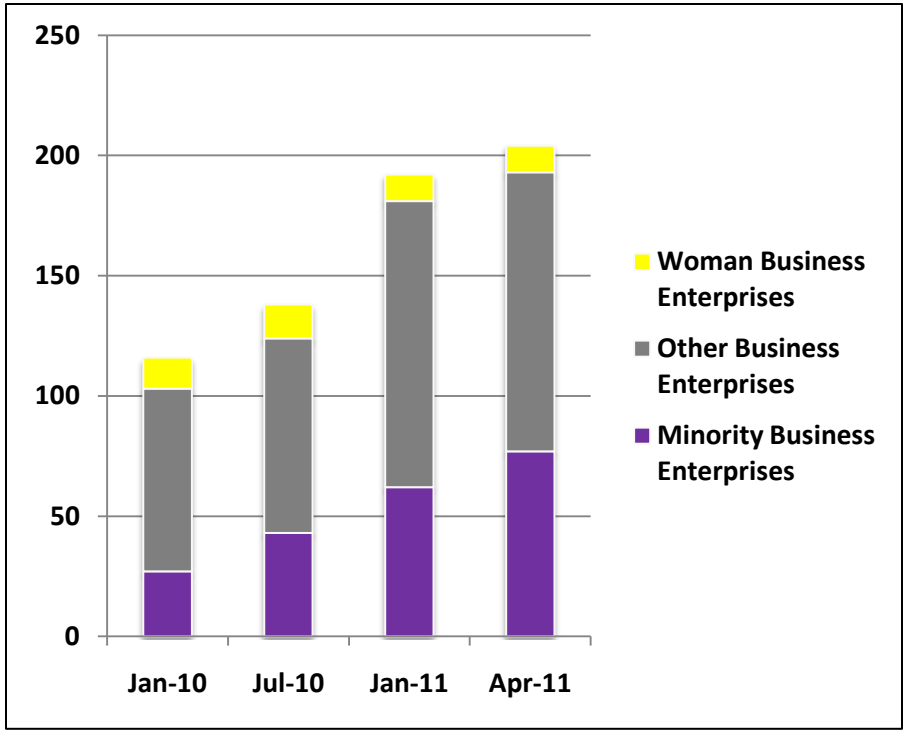
Second Quarter FY 2010/11

SF LBE and SFPUC Certified Firms by M/W/OBE Category

Certified LBE Firms



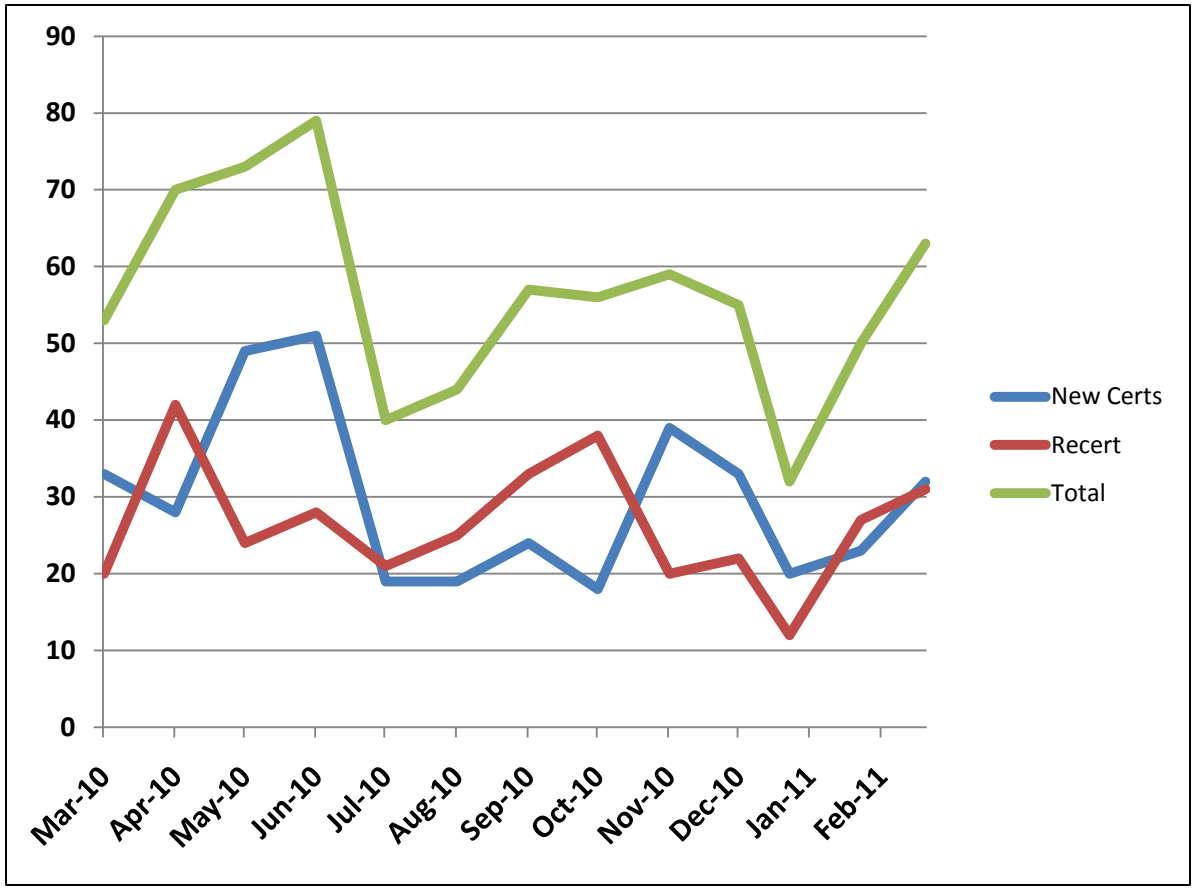
SFPUC Certified LBE Firms



SF LBE Certified Firms	Jan-10	Jul-10	Jan-11	Apr-11
Minority Business Enterprises	506	522	521	524
Other Business Enterprises	332	355	384	416
Woman Business Enterprises	301	298	314	314
Grand Total	**1139**	**1175**	**1219**	**1254**

PUC LBE Certified Firms	Jan-10	Jul-10	Jan-11	Apr-11
Minority Business Enterprises	27	43	62	77
Other Business Enterprises	76	81	119	116
Woman Business Enterprises	13	14	11	11
Grand Total	**116**	**138**	**192**	**204**

Monthly Certification and Recertifications
Previous 12 Months
(731 Firms Certified)

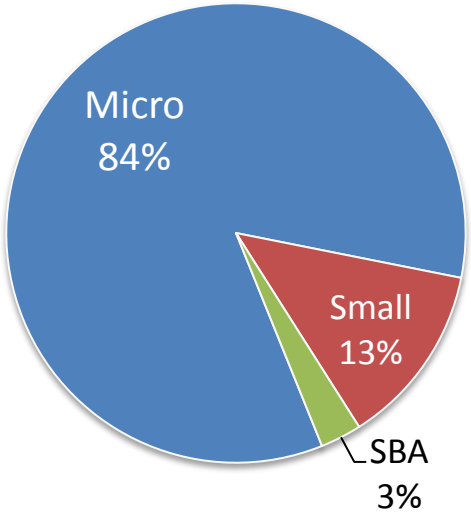


	New Certs	Recert	Total
Mar-10	33	20	53
Apr-10	28	42	70
May-10	49	24	73
Jun-10	51	28	79
Jul-10	19	21	40
Aug-10	19	25	44
Sep-10	24	33	57
Oct-10	18	38	56
Nov-10	39	20	59
Dec-10	33	22	55
Jan-11	20	12	32
Feb-11	23	27	50
Mar-11	32	31	63
Total	388	343	731

Note: Currently, the HRC certifies an average of 56 firms per month.

Comparison of Size Standard Thresholds for Local Business Enterprises and Small Business Administration Firms

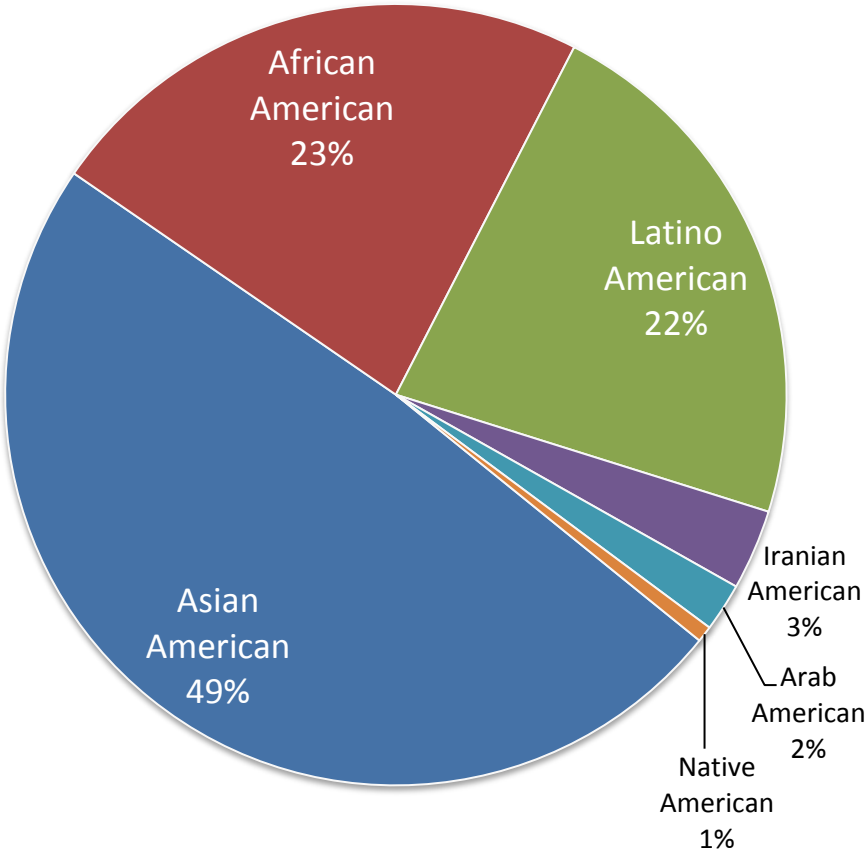
	Certification Size Standards (Average Gross Receipts)		
Industry	**For San Francisco "Micro Business" LBE, PUC-LBE**	**For San Francisco "Small Business" LBE, PUC-LBE**	**San Francisco SBA Program**
General Construction Contractors (A and B Class)	$7M	$14M	$33.5M
Subcontractors (C License)	$3.5M	$7M	$14M
Professional Services	$1.25M	$2.5M	$4.5-$8M
Architects	$1.25M	$2.5M	$4.5M
Engineers	$1.25M	$2.5M	$7M
Goods, Materials Suppliers, General Services	$3.5M	$7M	$17M
Trucking	1.75M	$3.5M	$25.5M



LBE Size	Count
Micro LBE	1310
Small LBE	200
SBA	45

- 84% of all certified San Francisco LBEs are “Micro Business” LBEs

Minority Business Enterprise Certified Firms
2nd Quarter FY 2010/11
(601 MBE Firms)

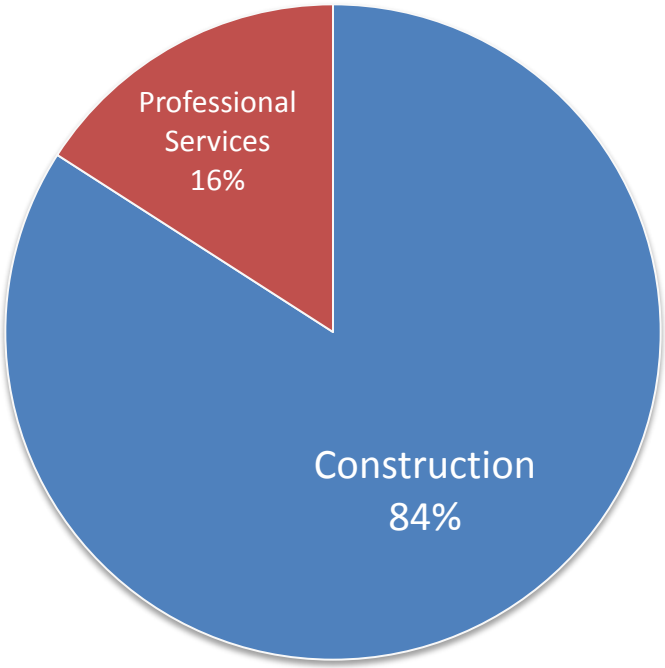


- The HRC certifies as an MBE any business that is certified as an LBE and is owned and controlled by one or more minority persons

- 77 MBEs were certified as PUC-LBEs and 524 MBEs certified as SF-LBEs

MBE Ownership	Apr-11
Asian American	293
African American	138
Latino American	134
Iranian American	20
Arab American	12
Native American	4
Total	**601**

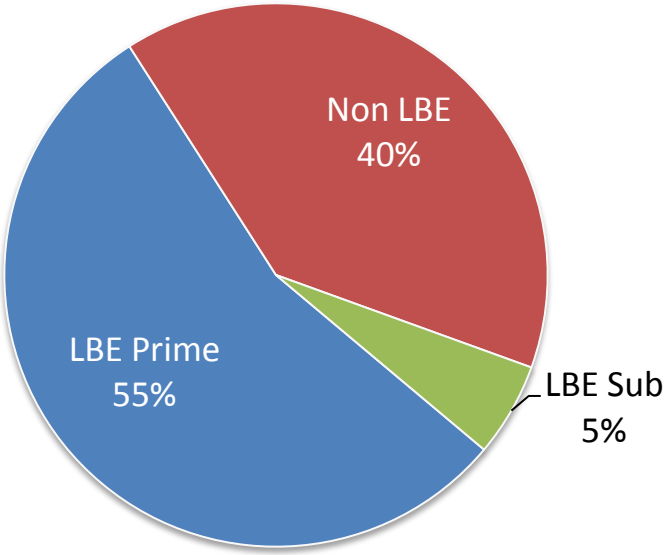
14B Contract Award Dollars By Industry
2nd Quarter FY 2010/11
(29 Contracts - $201 Million)



	1st Q FY 2010/11	2nd Q FY 2010/11
Construction	$ 42,228,151	$ 169,165,298
Professional Services	$ 13,804,720	$ 32,015,000
Total	$ 56,032,871	$ 201,180,298

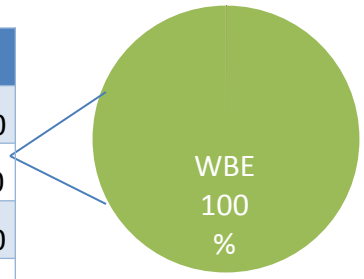
•This information does not include some contracts below certain dollar amounts, informal/emergency contracts, job order contracts, as well as contracts from other smaller departments.

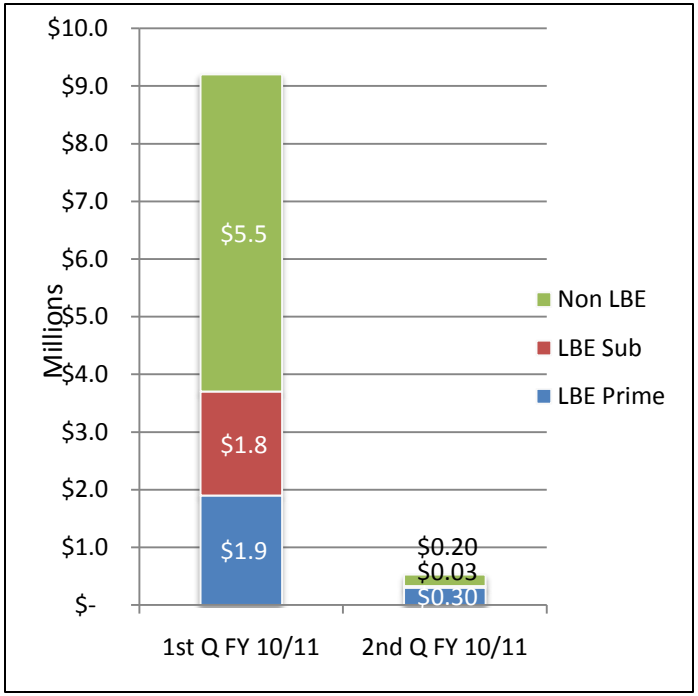
Airport
Contracts 2nd Quarter FY 2010/11
(2 Contracts - $573K)



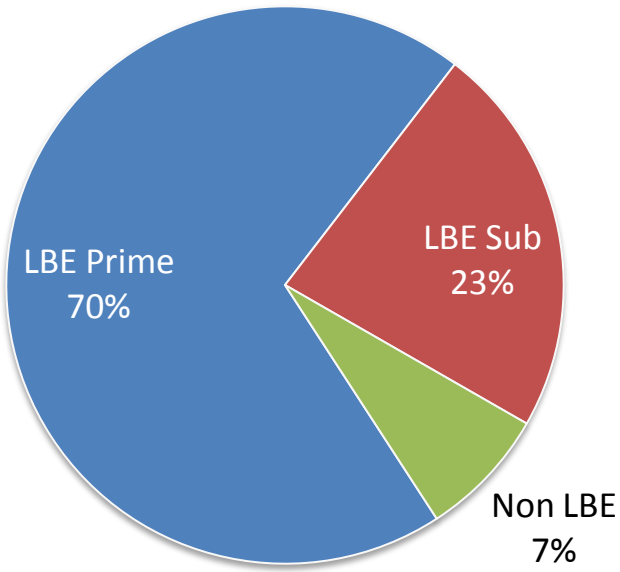
- LBE prime contractor on 1 (or 50%) of awarded contracts
- 1 construction and 1 professional service contract
- 1 micro set-aside contract awarded

	1st Q FY 10/11	2nd Q FY 10/11
LBE Prime	$1,924,162	$ 314,200
LBE Sub	$1,839,866	$ 32,000
Non LBE	$5,501,962	$ 227,000
Total	$9,265,990	$ 573,200

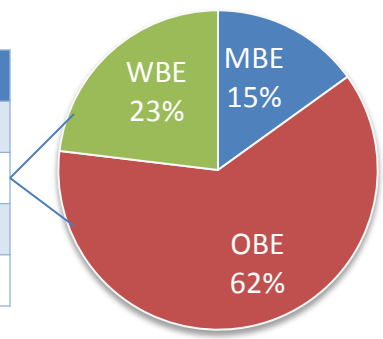




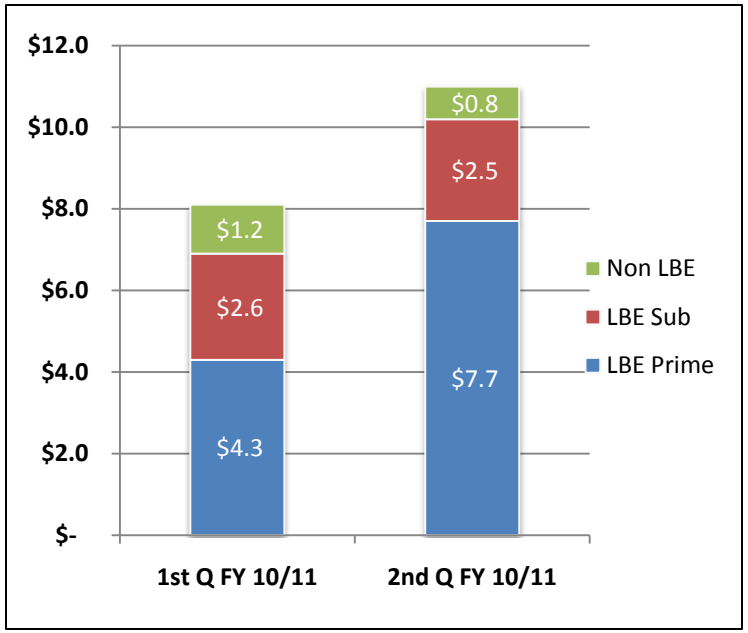
Department of Public Works Contracts 2nd Quarter FY 2010/11 (9 contracts - $11.0 Million)



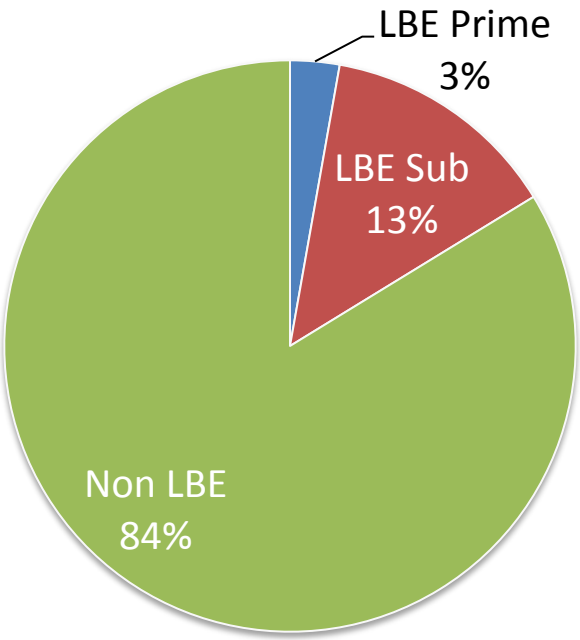
	1st Q FY 10/11	2nd Q FY 10/11
LBE Prime	$ 4,378,110	$ 7,689,620
LBE Sub	$ 2,599,523	$ 2,523,416
Non LBE	$ 1,170,581	$ 833,947
Total	$ 8,148,214	$ 11,046,983



- LBE prime contractors on 7 (or 77%) of contracts awarded
- 2 different LBE prime contractors
- All contracts awarded were construction contracts
- Average LBE goal is 20%
- 2 micro set-aside job order contracts awarded

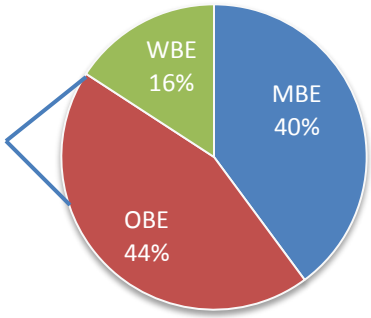


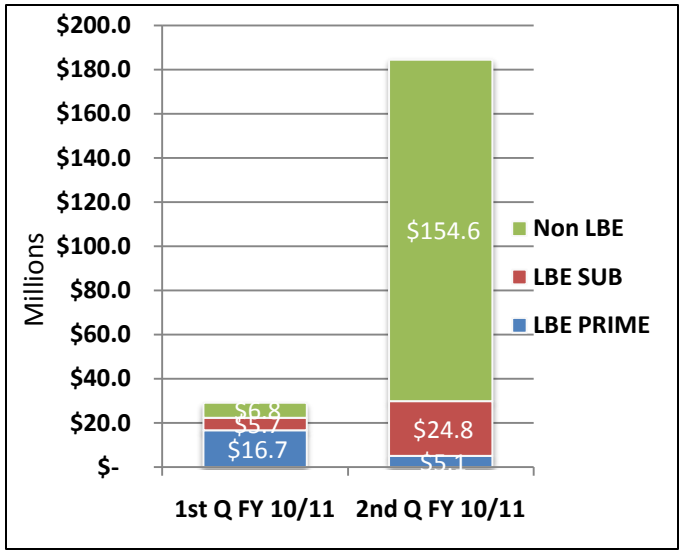
Public Utilities Commission Contracts 2nd Quarter FY 2010/11 (15 Contracts – $136.4 M) (8 Task Orders - $48.2 M)



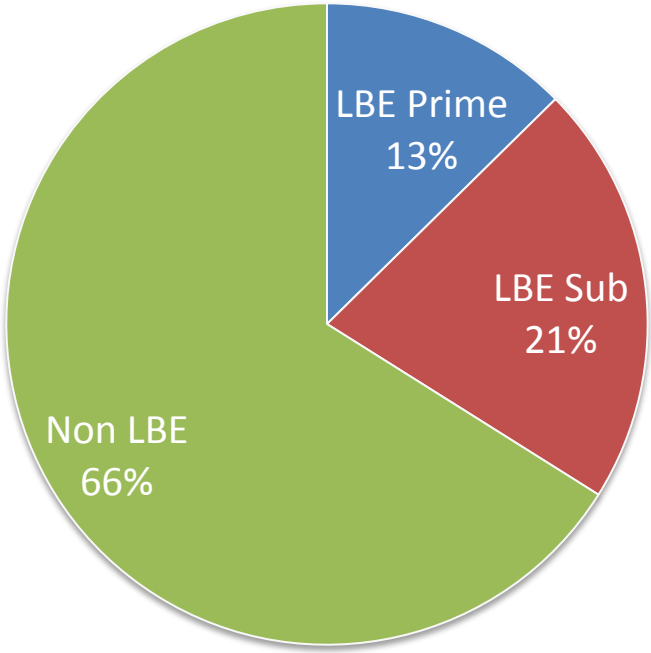
- LBEs are prime contractors on 9 (or 60%) of awarded contracts
- 9 different LBE prime contractors utilized
- 8 construction and 7 professional service contracts
- 8 task orders for 525 Golden Gate awarded
- LBE Utilization impacted by six major WSIP Projects
  - San Joaquin Pipeline $44.8M
  - Crystal Springs Pipeline #2 $32.5M
  - Electrical Trade 525 GG $17.9M
  - Lower Crystal Springs Dam $17.3M
  - Tracy Water Treatment Plant $16.0M
  - Mechanical Package 525 GG $11.4M

	1st Q FY 10/11	2nd Q FY 10/11
LBE PRIME	$ 16,708,161	$ 5,154,310
LBE SUB	$ 5,708,639	$ 24,859,776
Non LBE	$ 6,803,473	$ 154,627,097
Total	$ 29,220,273	$ 184,641,183



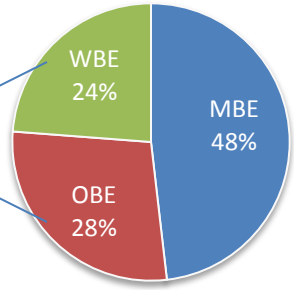


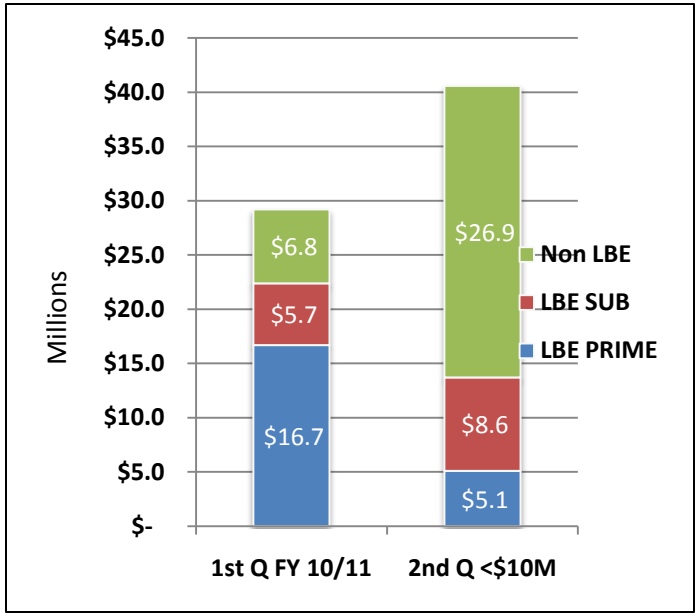
Public Utilities Commission Contracts Under $10M 2nd Q (17 Contracts - $40.8 Million)



- Contracts over $10 Million are not subject to a bid discount
- 6 contracts awarded over $10M totaling $143M
- LBE prime contractors on 8 (or 47%) of contracts awarded under $10M
- 11% is average LBE goal on contracts under $10M
- 2 micro set-aside contracts awarded

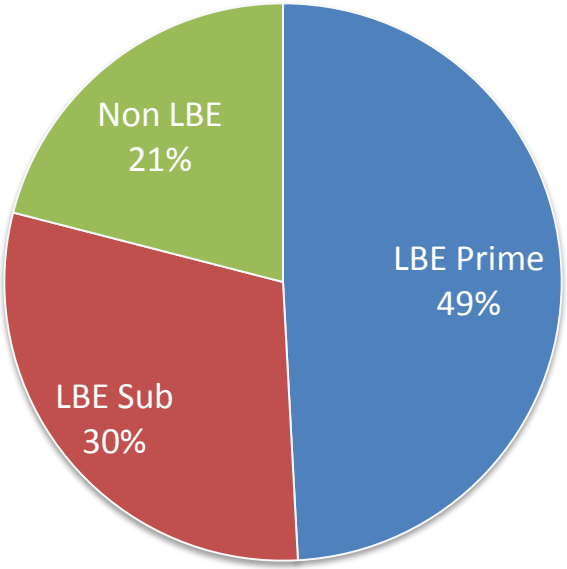
	2nd Q FY 2010/11	
LBE Prime	$	5,154,310
LBE Sub	$	8,697,968
Non LBE	$	26,970,955
Total	$	40,823,233



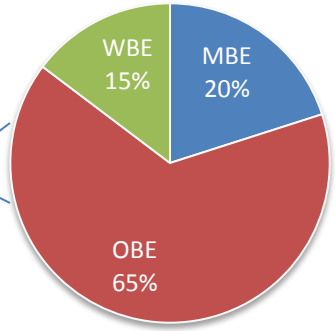


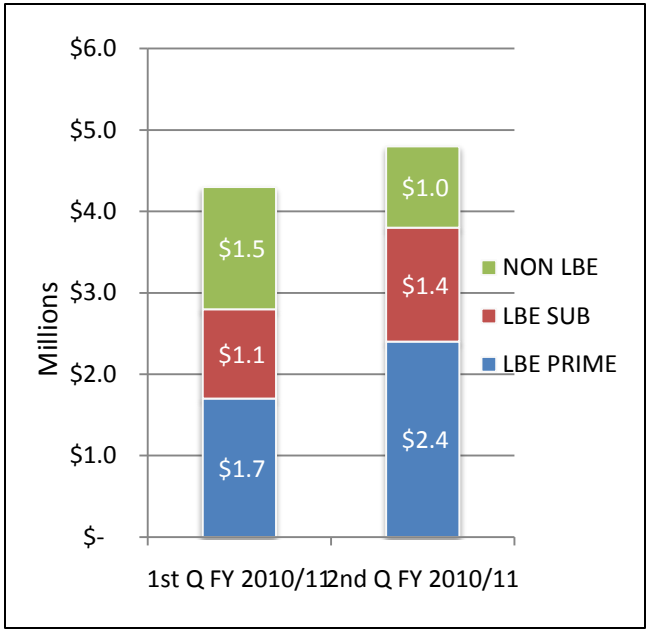
Recreation & Park Department Contracts 2nd Quarter FY 2010/11 (3 Contracts - $4.9 Million)

- LBEs are prime contractors on 3 (or 100%) of contracts awarded
- 3 different LBE prime contractors
- All contracts awarded were construction contracts
- Zero micro set-aside contracts
- Average LBE goal is 17%

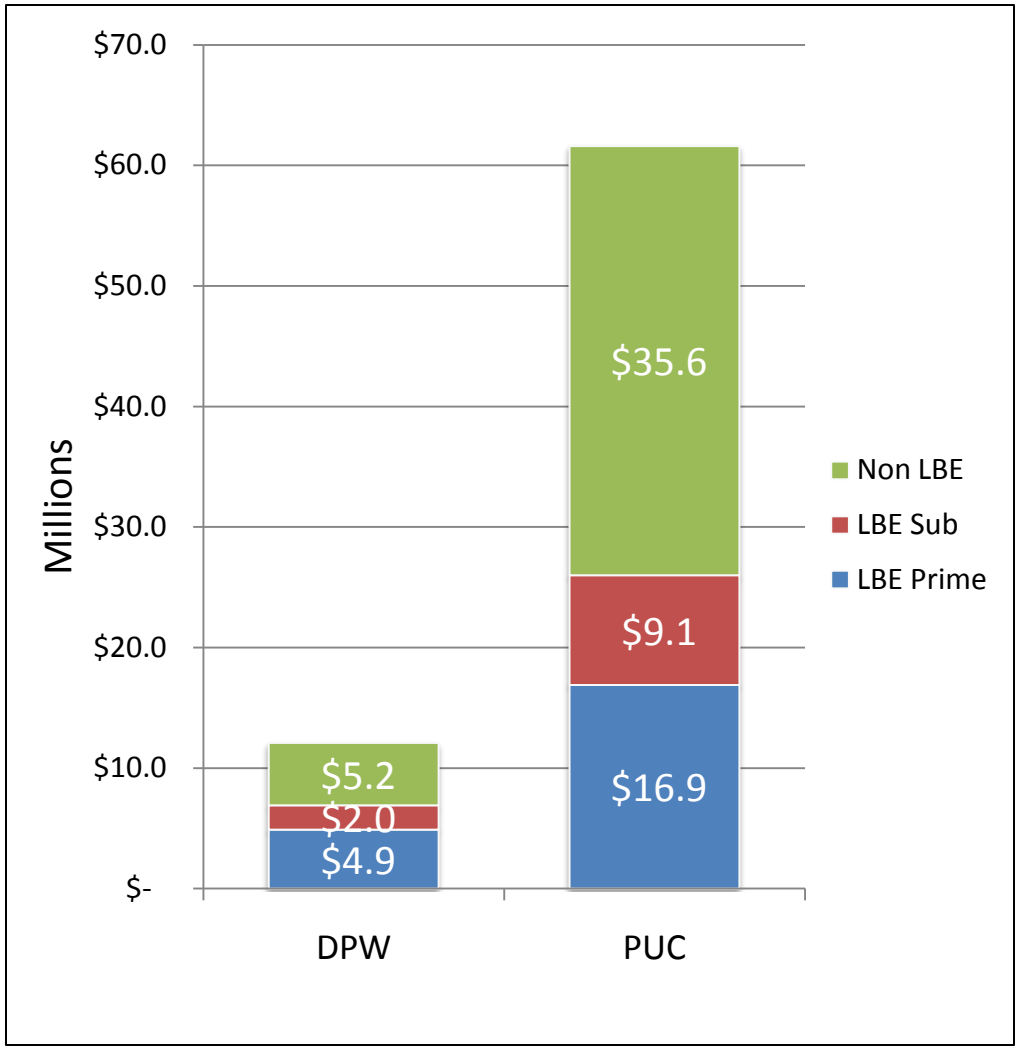


	1st Q FY 2010/11		2nd Q FY 2010/11	
LBE PRIME	$	1,779,660	$	2,417,478
LBE SUB	$	1,162,821	$	1,468,943
NON LBE	$	1,505,509	$	1,032,511
Total	$	4,447,990	$	4,918,932



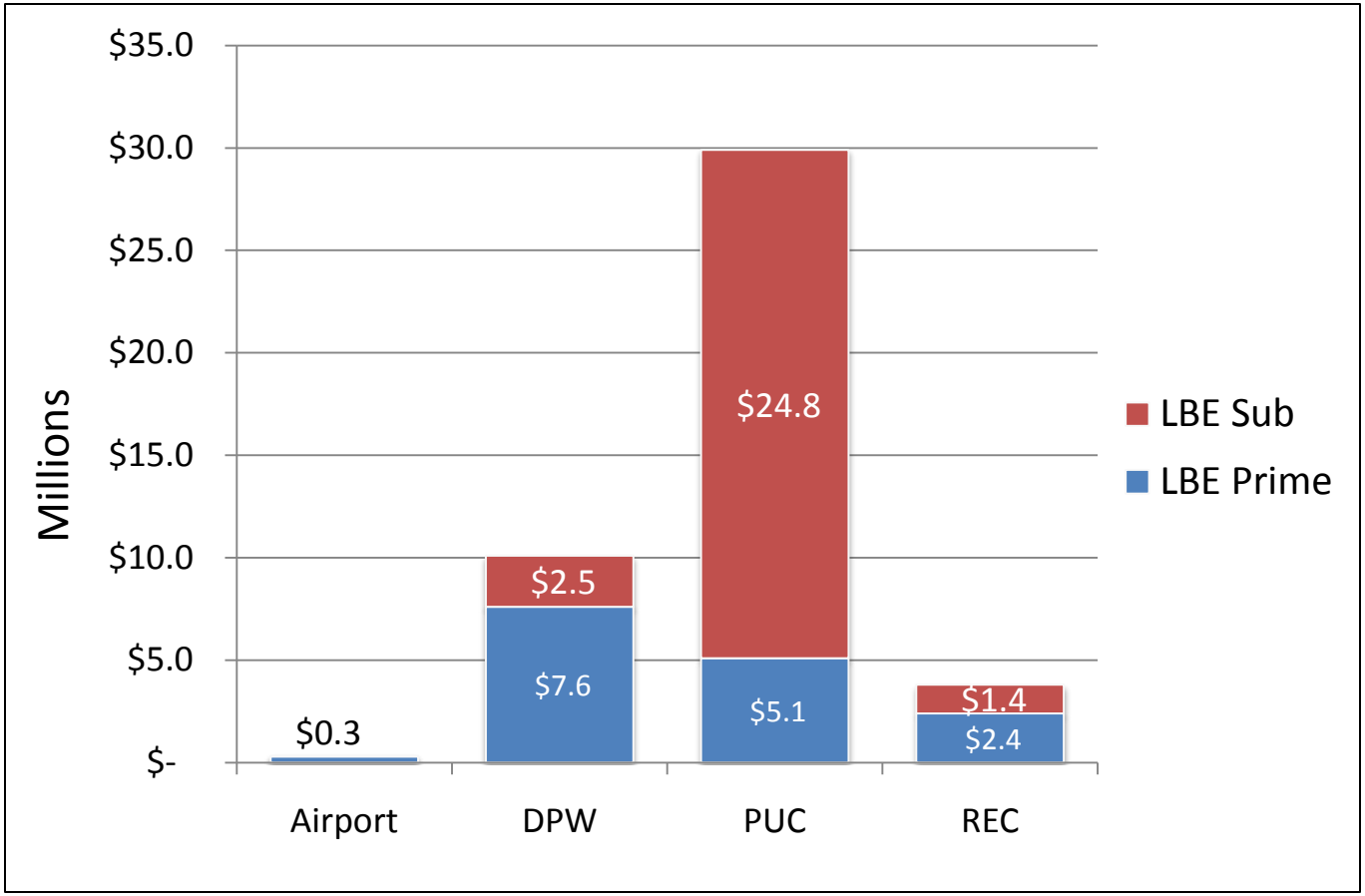


Job Order Contracts
(July 2006 – Present)



- 31 PUC and 7 DPW active Job Order Contracts
- $61.8 Million in task orders issued by the PUC and $12.1 Million by DPW
- The average LBE subcontract participation goal set on JOC contracts is 13% at DPW and 15% at PUC
- DPW has issued 2 micro set aside JOC contracts
- LBEs are primes on 12 (or 39%) of PUC and on 4 (or 57%) of DPW JOC contracts
- LBEs received 57% of DPW and 42% of all PUC dollars awarded through JOCs

14B Contract Dollars Awarded to LBEs by Department
2nd Quarter FY 2010/11



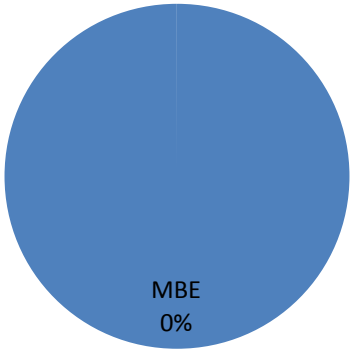
LBEs Awarded $44.4 Million (18%) of the 14B Governed Contract $

Minority Business Enterprise Awards

2nd Quarter FY 2010/11

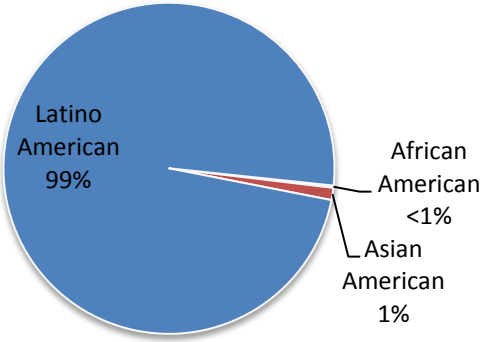
(60 Awards – $18.9M)

Airport



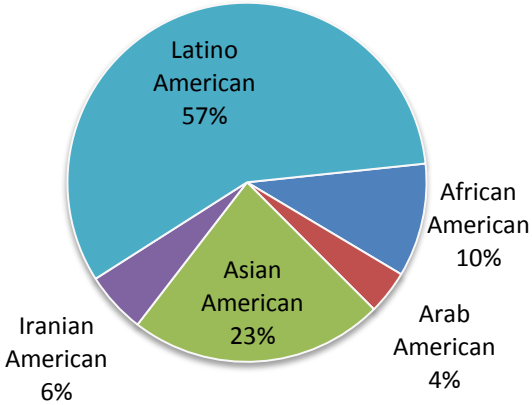
•No awards to MBEs

DPW



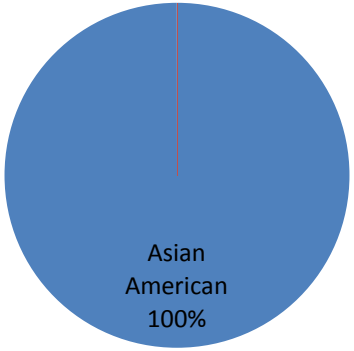
•7 awards to MBE primes worth $7.5M
•8 awards to MBE subs worth $380K
•99% of MBE awards to Latino American firms

PUC



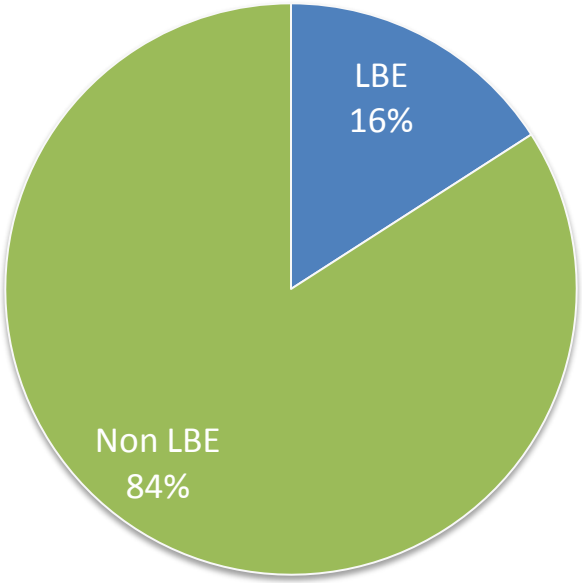
•3 awards to MBE primes worth $1.2 M
•39 awards to MBE subs worth $9.5 M
•57% of MBE awards to Latino American firms

Rec & Park



•3 awards to MBE subs worth $295K
•100% of MBE awards to Asian American owned firms

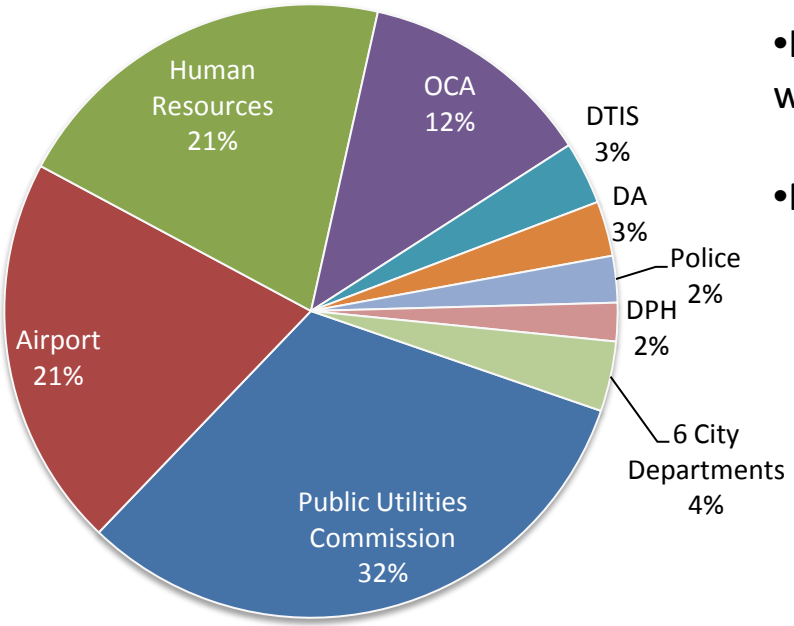
Proposition Q Procurement
2nd Quarter FY 2010/11
(6,259 Transactions - $9.9 Million)



	1st Q	2nd Q
LBE	$ 2.1 M	$ 1.7 M
Non LBE	$ 11.1 M	$ 8.2 M
Total	$ 13.2 M	$ 9.9 M

- Prop Q purchases are acquisitions for goods and services under $10,000
- Prop Q purchases from LBEs remained at 13% from FY 2008/09 to 2009/10
- Prop Q purchases from LBEs remain at 16% for the first two quarters of FY 2010/11
- Top 5 procurement categories
  - Laboratory Services $552.4K
  - Light Rail Vehicle Equip $451.3K
  - Common Diesel/Parts $406.9K
  - Diesel/Electric Equip. Parts $217.9K
  - Diesel/Electric Vehicle Parts $197.3K
- Top 4 Prop Q purchasing departments
  - PUC $1.7M (16% LBE)
  - DPH $897K (19% LBE)
  - DPW $483K (23% LBE)
  - AIR $337K (18% LBE)

Chapter 14B Waivers
2nd Quarter FY 2010/11
(27 Waivers - $24.1 Million)



- HRC received 28 Chapter 14B waiver requests, 27 were approved

- Five largest request:

•DHR	$5.0M	Subcontracting Goal
•Airport	$5.0M	Subcontracting Goal
•PUC	$3.0M	Subcontracting Goal
•PUC	$3.0M	Subcontracting Goal
•OCA	$1.5M	Subcontracting Goal

Department	Amount
Public Utilities Commission	$ 7,711,800
Airport	$ 5,000,000
Human Resources	$ 5,000,000
OCA	$ 3,000,000
DTIS	$ 800,000
DA	$ 700,793
Police	$ 593,573
DPH	$ 490,000
6 City Departments	$ 891,628
Total	$ 24,187,794

Waiver Type	Amount
Emergency	$ 20,000.00
Sole Source	$ 4,192,794.00
Subcontracting Goal	$ 19,975,000.00
Total	$ 24,187,794.00